

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/943,465
Filing Date	August 31, 2001
First Named Inventor	HALL, Dennis G.
Examiner Name	N/A
Group Art Unit	N/A
Attorney Docket No.	P287-USa1 (formerly P287-US1)

TOTAL AMOUNT OF PAYMENT (\$ 260.00)

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **195113**
 Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims -20** = X =
 Independent Claims -3** = X =
 Multiple Dependent =

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)	Petition under 37 C.R.F. Section 1.53(e)		130.00
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$ 260.00)

SUBMITTED BY

Name (Print/Type) **John M. Van Zant**Registration No. **25815**

(Attorney/Agent)

Complete (if applicable)

Telephone **(416) 216-1868**

Signature

Date

December 17, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/943,465	08/31/2001	Dennis G. Hall	P287-US1

CONFIRMATION NO. 9422

FORMALITIES LETTER



OC000000006983737

Swabey Ogilvy Renault
Suite 1600
1981 McGill College Avenue
Montreal, QC H3A 2Y3
CANADA

Date Mailed: 10/29/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- **The balance due by applicant is \$ 130.**

The following item(s) appear to have been **omitted** from the application:

- Figure(s) **22 and 24** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the

original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Practitioner's Docket No. P287-USa1 (previously P287-US1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: HALL, Dennis G.

Application No.: 09/943,465

Group Art Unit: N/A

Filed: August 31, 2001

Examiner: N/A

For: PREPARATION AND USES OF CONJUGATED SOLID SUPPORTS FOR BORONIC ACIDS

December 17, 2001

Commissioner for Patents
Washington, D.C. 20231

**Response to Notice to File Missing Parts
of Nonprovisional Application**

Dear Sir:

This is in response to the Notice to File Missing Parts of Nonprovisional Application mailed on October 29, 2001.

Transmitted herewith are the following:

1. Executed Combined Declaration for Utility Patent Application and Power of Attorney, submitted within a two month period of response as set out in the Notice to File Missing Parts;
2. Petition under 37 C.F.R. Section 1.53(e) to establish Prior Receipt in the P.T.O. of Items Considered as Omitted by the P.T.O., including Evidence of deposit of items with application indicated as omitted in the notice, in response to the Notice to File Missing Parts mailed October 29, 2001;
3. Two copies of the Fee Transmittal Form PTO/SB/17 in respect of the late oath or declaration charge of \$130.00 and the required fee of \$130.00 to file a Petition under 37 C.F.R. Section 1.53(e) in response to the Notice to File Missing Parts noting omitted items mailed October 29, 2001;
4. Two copies of the Notice to File Missing Parts dated October 29, 2001;



See for #3

5. A copy of Figures 22 and 24 as originally filed with the application in the P.T.O. on August 31, 2001.
6. Return receipt card.

The two-month period of response expires on December 29, 2001. The submission of the foregoing is before the two-month and is therefore believed to be timely.

Should any additional fees be required with respect to the foregoing, please consider this an authorization to charge our Deposit Account No. 195113 accordingly.

Acknowledgement of the return receipt card included with our correspondence is requested. Should any Patent Official wish to telephone us, the call should be placed to Joan M. Van Zant at (416) 216-1868.

Respectfully submitted,



Joan M. Van Zant
Reg. No.: 25815

Telephone No.: (416) 216-1868

Ogilvy Renault
1981 McGill College Avenue
Suite 1600
Montréal, Québec
Canada H3A 2Y3

#5

December 17, 2001

Practitioner's Docket No. P287-USa1 (previously P287-US1)

**EVIDENCE OF DEPOSIT OF ITEM(S) WITH APPLICATION INDICATED AS OMITTED IN
THE "NOTICE TO FILE MISSING PARTS"**

In connection with the "Notice of to File Missing Parts" noting omitted items mailed October 29, 2001, applicant submits the following evidence that the item(s) indicated as omitted were in fact deposited with the P.T.O. on August 31, 2001, which is the original date on which the papers for this application were deposited:

1. A photocopy of a date stamped return post card receipt showing thereon that 14 pages of drawings were received in the U.S.P.T.O. on August 31, 2001. The return post card was date stamped by the O.I.P.E. on August 31, 2001 upon receipt by hand delivery of U.S. Non-provisional patent application No. 09/943,465.
2. A photocopy of a subsequent date stamped return post card in respect of U.S. Non-provisional patent application No. 09/943,465 mailed by the P.T.O. to the Agent of Record indicating that 13 pages of drawings were received in the U.S.P.T.O. on August 31, 2001, rather than 14 pages of drawings.

Applicant submits that the first date stamped return receipt card which itemized and properly identified 14 pages of figures as being filed serves as prima facie evidence of receipt in the U.S.P.T.O. of all items listed thereon on the date stamped thereon by the Patent Office.

Respectfully submitted,


Joan M. Van Zant
Reg. No.: 25815

Telephone No.: (416) 216-1868
Ogilvy Renault
1981 McGill College Avenue, Suite 1600
Montréal, Québec
Canada H3A 2Y3

CASE No. P287-US1

SERIAL No. _____

FILED: August 31, 2001

INVENTOR: Dennis G. Hall

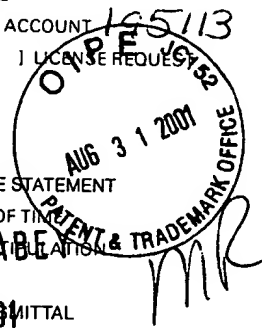
The Patent & Trademark Office acknowledges, and has stamped hereon the date of receipt of the items checked below:

- ☐ AFFIDAVIT
- ☐ AMENDMENT ☐ APPEAL NOTICE
- ☒ APPLICATION PAPERS - OATH/DECLARATION
- ☒ TOTAL CLS. 84 IND. CLS. 12 FEE \$ 2582.00
- ☒ SHEETS OF DRAWING
- ☐ ASSIGNMENT ☐ BRIEF
- ☐ CERTIFICATE OF CORRECTION
- ☐ CLAIM OF PRIORITY AND CERTIFIED COPIES
- ☐ DECLARATION
- ☐ ISSUE FEE - BASE/BALANCE
- ☒ LETTER - CHARGE DEPOSIT ACCOUNT 165113
- ☐ LETTER ☐ LICENSE REQUEST
- ☐ MOTION
- ☐ PETITION
- ☐ PRELIMINARY STATEMENT
- ☐ INFORMATION DISCLOSURE STATEMENT
- ☐ REQUEST FOR EXTENSION OF TIME
- ☐ FEES
- ☐ SWORN TRANSLATIONS
- ☒ MAINTENANCE FEE TRANSMITTAL
- ☐
- ☐

OGILVY RENAULT

Check No. _____ \$ _____

Joan Van Zant



CASE No.

P287-US1

SERIAL No.

FILED:

August 31, 2001

INVENTOR:

Dennis G. Hall

The Patent & Trademark Office acknowledges, and has stamped hereon the date of receipt of the items checked below:

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14 SHEETS OF DRAWING

☐ ASSIGNMENT ☐ BRIEF

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☐ INFORMATION DISCLOSURE STATEMENT

☐ REQUEST FOR EXTENSION OF TIME

☐ RESPONSE ☐ STIPULATION

☐ SWORN TRANSLATIONS

☐ MAINTENANCE FEE TRANSMITTAL

☐

☐

Check No. \$

11040 U.S. PTO

09/943465



08/31/01

The PTO did not receive the following:
listed item(s) - 14 pages drawing
only 13 pages